

## PATENT APPLICATION

Sheet 1 of 2

FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		ATTY. DOCKET NO. <b>10012257</b>	APPLICATION NO.	CONFIRMATION NO.
		APPLICANT <b>Yasusi Kanada and Brian J. O'Keefe</b>		
		FILING DATE <b>April 26, 2001</b>	GROUP	<b>2143</b>

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## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
1A					
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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
1L							
1M							
1N							
1O							
1P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

<i>PN</i>	1Q	Stardust.com, Inc., Introduction to QoS Policies (July 1999), (visited 2/21/01) < <a href="http://www.stardust.com/policy/whitepapers/qospol.htm">http://www.stardust.com/policy/whitepapers/qospol.htm</a> >
<i>PN</i>	1R	Internet Draft, Internet Engineering Task Force, An Architecture for Differentiated Services (December 1998), (visited 2/21/01) < <a href="http://www.ietf.org/">http://www.ietf.org/</a> >
<i>PN</i>	1S	Internet Draft, Internet Engineering Task Force, An Expedited Forwarding PHB (June 1999), (visited 2/21/01) < <a href="http://www.ietf.org/">http://www.ietf.org/</a> >

EXAMINER	DATE CONSIDERED
<i>[Signature]</i>	<i>8/30/04</i>

## PATENT APPLICATION

Sheet 2 of 2

FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		ATTY. DOCKET NO. <b>10012257-1</b>	APPLICATION NO. <b>09/842,130</b>	CONFIRMATION NO.
		APPLICANT <b>Yasusi Kanada et al.</b>		
		FILING DATE <b>April 26, 2001</b>	GROUP <b>UNK</b>	<b>2143</b>

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
PN	2A 5,870,561	Feb. 09, 1999	Jarvis et al.	
	2B 09/382,176	Aug. 24, 1999	Fredrick Roeling	
	2C 09/382,171	Aug. 24, 1999	Hugh F. Mahon et al.	
	2D 09/382,172	Aug. 24, 1999	Hugh F. Mahon et al	
	2E 09/382,059	Aug. 24, 1999	Hugh F. Mahon et al	
	2F 09/382,175	Aug. 24, 1999	Hugh F. Mahon et al	
	2G 09/479,206	Jan. 07, 2000	Jason Goldman et al.	
PN	2H 09/479,205	Jan. 07, 2000	Jason Goldman et al.	

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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					X
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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
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Sheet 1 of 2

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EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
PN	1A	5,812,819	Sept. 22, 1998	Rodwin et al.	
	1B	6,233,686	May 15, 2001	Zenehelsky et al.	
	1C	6,105,027	Aug. 15, 2000	Schneider et al.	
	1D	6,021,438	Feb. 01, 2000	Duvvoori et al.	
	1E	6,041,347	March 21, 2000	Harsham et al.	
	1F	6,064,656	May 16, 2000	Angal et al.	
	1G	6,167,445	Dec. 26, 2000	Gai et al.	
	1H	6,158,010	Dec. 05, 2000	Moriconi et al.	
	1I	5,555,346	Sept. 10, 1996	Gross et al.	
	1J	6,237,036	May 22, 2001	Ueno et al.	
PN	1K	5,889,953	May 30, 1999	Thebaut et al.	

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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
PN	1L	WO 96/2134	Nov. 07, 1996	Olli Finni		
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

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Sheet 2 of 3

FORM PTO-1449 <i>O I P E</i> AUG 01 2001 P A T E N T & T R A D E M A R K S E R V I C E LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	ATTY. DOCKET NO. <b>10012257</b>	APPLICATION NO.	CONFIRMATION NO.
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<i>PW</i>	2Q	Internet Draft, Internet Engineering Task Force, Assured Forwarding PHB Group (June 1999), (visited 2/21/01) < <a href="http://www.ietf.org/">http://www.ietf.org/</a> >
<i>PW</i>	2R	Internet Draft, Internet Engineering Task Force, A Two-bit Differentiated Services Architecture for the Internet (July 1999), (visited 2/21/01) < <a href="http://www.ietf.org/">http://www.ietf.org/</a> >
<i>PW</i>	2S	Internet Draft, Internet Engineering Task Force, SNMP-based QoS Programming Interface MIB for Routers (October 1999), (visited 2/21/01) < <a href="http://www.kanadas.com/activenet/index.html/">http://www.kanadas.com/activenet/index.html/</a> >

EXAMINER <i>DHS</i>	DATE CONSIDERED <i>8/30/04</i>
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Sheet 3 of 3

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3O						
3P						

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

3Q	Yasusi Kanada, Two Rule-based Building-block Architectures for Policy-based Network Control, 2nd International Working Conference on Active Networks (IWAN 2000), October 2000
3R	
3S	

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DATE CONSIDERED

8/20/04